NOV 1 5 2006

PTO/\$8/97 (08-03)

**☑** 005

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it contains a valid OMB control number.

In re: U.S. Patent Application Serial No. 10/712,644 for:

DATABASE AND DATABASE MANAGEMENT SYSTEM

Filing Date:

November 13, 2003 Hassan Mahmoudi Inventor: Victor A, Bennett et al. Art Unit: 2165

Examiner: Docket No.:

356682-991101

## Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office at facsimile no. 1-571-273-8300:

- 1. Fax Cover Sheet to USPTO (1 pg);
- 2. Transmittal Form (1 pg);
- 3. Notice of Appeal (1 pg);
- 4. Fee Transmittal FY 2006 (1 pg); and
- 5. Certificate of Facsimile Transmission (1 pg);

on November 5, 2006

Date

Signature

Cathy Pittman

Typed or printed name of person signing Certificate

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a banefit by the public which is to file (and by the USPTO to process) an application. Confidentiallty is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending on the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS EM/7211604 ODRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Ø 001

NOV 1 5 2006



Timothy W. Lohse timothy.lohse@dlapiper.com
T 650.833.2055
F 650.833.2001

## **FAX TRANSMISSION COVER SHEET**

November 15, 2006

<u>To</u>:

Telephone:

Fax Number:

U.S. Patent and Trademark Office

1-571-273-8300

From:

Re:

Timothy W. Lohse TWL-

Client-Matter Number:

356682-991101

650.833.2055

U.S. Patent Application:

Serial No.: 10/712,644

Filing Date: November 13, 2003

Title: DATABASE AND DATABASE MANAGEMENT SYSTEM

Pages:

 $\frac{5}{2}$  - (including this form)

Originals: will not be mailed

If there is a problem with this transmission, please call

Fax Operator/Ext.

#### Message:

The following documents are being facsimile transmitted to facsimile number (571) 273-8300 to the U.S. Patent and Trademark Office on November \_\_\_\_\_\_\_\_, 2006:

- Transmittal Form (1 pg);
- 2. Notice of Appeal (1 pg);
- 3. Fee Transmittal FY 2006 (1 pg.); and
- 4. Certificate of Facsimile Transmission (1 pg).

### CONFIDENTIALITY NOTICE

This communication is ONLY for the person named above. Unless otherwise indicated, it contains information that is confidential, privileged or exempt from disclosure under applicable law. If you are not the person named above, or responsible for delivering it to that person, be aware that disclosure, copying, distribution or use of this communication is strictly PROHIBITED. If you have received it in error, or are uncertain as to its proper handling, please immediately notify us by collect telephone and mail the original to us at the above address. Thank you.

Serving clients globally

EM\7201037.1 356682-991101

# RECEIVED CENTRAL FAX CENTER

NOV 1 5 2006

PTO/SB/21 (09-04)

Approved for use through 07/31/2008. OMB 0631-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no porsons are required to respond to a collection of information unless it displays a velid OMB control number.

Application Number 10/712,644

TRANSMITTAL	Filing Date	November 13, 2003				
FORM	First Named Inventor	Victor A. Bennett				
	Art Unit	2165				
(to be used for all correspondence after initial filing)	Examiner Name	Hassan Mahmoudi				
Total Number of Pages in This Submission	Attorney Docket Number	356682-991101				
ENCLOSURES (Check all that apply)						
Fee Transmittal Form	Drawing(s)	After Allowance Communication to TC				
F ee Attached	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences				
Amendment/Reply	Petition	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)				
After Final	Petition to Convert to a Provisional Application	Proprietary Information				
Affidavits/declaration(s).	Power of Attorney, Revocation Change of Correspondence Address	Status Letter				
Extension of Time Request	Terminal Disclaimer	Other Enclosure(s) (please Identify below);				
Express Abandonment Request	Request for Refund	Fax cover to USPTO (1 pg); Notice of Appeal (1 pg);				
Information Disclosure Statement	CD. Number of CD(s)	Certificate of Facsimile				
Certified Copy of Priority	Landscape Table on CD	Transmission (1 pg);				
Document(s) Rem						
Response to Missing Parts/ The Conceptete Application credit	Response to Missing Parts/ Incomplete Application  The Commissioner is hereby authorized to deduct any additional fees or credit any overpayment of fees to Deposit Account 07-1896.					
	any overpayment or lees to Dep	posit Account 07-1896,				
Response to Missing Parts under 37 CFR 1.52 or 1.53	•					
SIGNATURE OF	APPLICANT, ATTORNEY, OF	ACENT				
	APPLICANT, ATTORNET, OF	RAGENI				
Firm Name DLA Piper US LLP						
Signature						
Printed name   Timothy W. Lohse						
Date November / 5, 2006	I Doo	No log of the				
November 75, 2006	Reg	. No. 35,255				
CERTIFICATE OF TRANSMISSION/MAILING						
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mall in an envelope addressed to: Commissioner for Patents. P.O. Box 1450, Alexandria. VA 22313-1450 on the date shown below.						
Signature Willy Fitte	tal					
Typed or printed name Cathy Pittman		Date November 6 , 2006				

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTQ-9199 and select option 2.

American LagalNet, Inc. www.USCounForms.com DLA PIPER US LLP

NUV 1 5 2006

Ø1004

PTO/SB/17 (07-08)
Approved for use through 01/31/2007. OMB 0851-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

(	Effeç	tive on 12/0	8/2004.	Complete If Known					`	
Fees pursuant to the Consolidated Appropriations Act. 2005 (H.R. 4818).  FEE TRANSMITTAL  for FY 2006			Applicatio	n Number	10/712,644	10/712,644				
			Filing Date	•	<del>- }</del>	November 13, 2003				
			First Nam	ed Inventor		Victor A. Bennett				
			Examiner	Name	<del></del>	Hassan Mahmoudi				
Applicant claim	ns small e	ntity status	. See 37 CF	FR 1,27	Art Unit		2165			
TOTAL AMOUN	T OF PA	YMENT	(\$)250.0	າດ	Attorney I	Attorney Docket No. 356682-991101				
METHOD OF PA	AYMEN	(check a	II that app	<u>ly)</u>						
Check	Credit (	Card	Money C	)rder ∐ No	one 🔲 O	ther (please i	identify):			
Deposit Ac	count D	eposit Accou	เกเ Number: ʃ	<u> </u>	D;	eposit Account	Name: <u>DLA Piper I</u>	UŞ LLP		
For the abo	ove-identi	ified deposi	it account, f	the Director is he	ereby authoria	ed to: (chec	x all that apply)			
Charr	ge fee(s) i	indicated be	elow		Пс	haroe fee(s)	indicated below, e	except for t	he filing fee	
Charg	ge any ado	ditional fee	(s) or under	rpayments of fee	(8)	redit any ove		N4-P1		
under لاسط WARNING: Information and aut	ition on thi	1.16 and 1. is form may n on PTO-20:	become put	olic. Credit card in			ncluded on this form	. Provide cr	edit card	
				are due upon	filina or m:		ect to a surchar			
1. BASIC FILIN				~		<u>., </u>	<u> </u>	9,		
		FILING			RCH FEES	;	EXAMINATIO	NFEES		
			Small Enti		<u>Smal</u>	Entity	<u>S</u> i	mall Entity		
Application T	ype	Fee (\$)	Fee (\$)		_	ee (\$)	Fee (\$)	Fee (\$)	Fees Paid (\$)	
Utility		300	150	500		250	200	100		
Design		200	100	100	)	50	130	65		
Plant		200	100	300	)	150	160	80		
Reissue		300	150	500	) ·	250	600	300		
Provisional		200	100	0		0	0	0		
2. EXCESS CL	AIM FEE	:S	•	•				5	Small Entity	
Fee Description								Fee (\$)	Fee (\$)	
Each claim over								50	25	
Each independer			cluding R	eissues)				200	100	
Multiple depend	lent clain							360	180	
Total Claims	20 or HP =	Extra CI	aims 	Fee (\$) _ F	ees <u>Pai</u> d (\$)		M		endent Claims	
HP ≃ highest number			If one-star th:	20 20		-		Fee (\$)	Fee Paid (\$)	
Indep. Claims	0, 1012. 1	Extra Cl	-	•	ees Pald (\$)		_			
	3 or HP ⊐		<u></u>	=						
HP = highest number	af indepen	ndent claims	pald for, if gr	eater than 3		-				
3. APPLICATION SIZE FEE										
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer										
listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).										
<u>Total Sheets</u>	<u>Ext</u>	tra Sheets		Number of eac				ee (\$)	Fee Paid (\$)	
4. OTHER FEE(S)  Non-English Specification \$1.30 for (no small entity discount)										
Non-English Specification, \$130 fee (no small entity discount)  Other (e.g., late filing surcharge): Notice of Appeal  250.00										
SUBMITTED BY OF OCC.										
Signature			10	to 1	Registration (Attorney/Age	No. 35,25!	5	Telepho	one 650.833.2055	
		<i>7</i> ===			(VIIOII IEANAGE	119			<del></del>	

Name (Print/Type) Timothy W. Lohse Date November 10, 2006

This collection of Information is required by 37 CFR 1.135. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**2**003

NOV 1 5 2006

PTO/SB/31 (09-06)
Approved for use through 03/31/2007, OMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB of

NOTICE OF APPEAL FROM THE EXAMINER TO THE BOARD OF PATENT APPEALS AND INTERFER		Docket Number (Op 356682-991101				
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to	In re Application of Victor A. Bennett					
"Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450"[37 CFR 1.8(a)]	Application N 10/712,644		Filed November 13, 2003			
on November , 2006 Signature	For Databas	base and Database Management System				
Typed or printed name Cathy Pittman	Art Unit 2165		aminer ssan Mahmoudi			
Applicant hereby appeals to the Board of Patent Appeals and Interference	es from the last o	decision of the examin	ner.			
The fee for this Notice of Appeal is (37 CFR 41.20 (b)(1))		\$ <u>500.00</u>				
Applicant claims small entity status. See 37 CFR 1.27. Therefore, the by half, and the resulting fee is:	ne fee shown abo		250.00			
A check in the amount of the fee is enclosed,  Payment by credit card. Form PTO-2038 is attached.	•	•	·			
The Director has already been authorized to charge fees in this app I have enclosed a duplicate copy of this sheet.	lication to a Dep	osit Account	,			
The Director is hereby authorized to charge any fees which may be to Deposit Account No. <u>07-1896</u> . I have enclosed a duplicate copy		tit any overpayment				
A petition for an extension of time under 37 CFR 1.136(a) (PTO/SB/22) is enclosed.						
WARNING: Information on this form may become public. Credit be included on this form. Provide credit card information and a			$\bigcap$			
I am the	<u> </u>	7				
applicant/inventor.		Sig	nature			
assignee of record of the entire interest.  See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed.  (Form PTO/SB/96)		Timothy	W. Lohse			
attorney or agent of record.  Registration number 35,255.			33.2055			
attorney or agent acting under 37 CFR 1.34.		Telepho	ne number			
Registration number if acting under 37 CFR 1.34.		<u> </u>	Pate			
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required.  Submit multiple forms if more than one signature is required, see below*.						
Total of forms are submitted						

This collection of Information is required by 37 CFR 41,31. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11, 1.14 and 41.6. This collection is estimated to take 12 mlaules to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case, Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450. Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

American LegalNet, Inc www.FormsWorkflow.com

258.00

Z

11/16/2006 TL0111